



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:	)		
R. Guy Lauterbach et al.	)		
•	)	Examiner: McCo	y, Violet
Serial No.: 10/072,140	)		RECEIVED
Filed: February 7, 2002	)	Art Unit: 2133	MAY 2 9 2003
For: SYSTEM AND METHOD FOR DI- AGNOSTICS EXECUTION AND DATA CAPTURE IN A STORAGE SYSTEM USING NONVOLATILE MEMORY	) ) )	·	Technology Center 2100
		Cesari and McKer	nna, LLP

88 Black Falcon Avenue Boston, MA 02210 May 23, 2003

## **CERTIFICATE OF MAILING**

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 23, 2003.

Brigid More Laffey
Brigid M. Laffey

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## SUPPLEMENTAL INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger Reg. No. 48,836

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**Application Number** 

Attorney Docket Number

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2

Filing Date	February 7, 2002	
First Named Inventor	R. Guy Lauterbach et al.	
Group Art Unit	2133	
Examiner Name	McCoy, Violet	

112056-0021

10/072,140

U.S. Patent Document  Number Kind Code <sup>2</sup> (if known)  5,819,292  5,963,962  6,038,570	Name of Patentee or Applicant of Cited Document  David Hitz et al.  David Hitz et al.  David Hitz et al.	Date of Publication of Cited Document MM-DD-YYYY 10/06/1998 10/05/1999 03/14/2000	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
5,963,962	David Hitz et al.	10/05/1999	
6,038,570	David Hitz et al.	03/14/2000	
<i>i</i> 1			

	FOREIGN PATENT DOCUMENTS							
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r Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Application Number

L10/073-140

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Sheet 2 of 2

Complete if Known				
Application Number	10/072,140			
Filing Date	February 7, 2002			
First Named Inventor	R. Guy Lauterbach et al.			
Group Art Unit	2133			
Examiner Name	McCoy, Violet			
Attorney Docket Number	112056-0021			

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		DAVID HITZ et al. TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.			
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